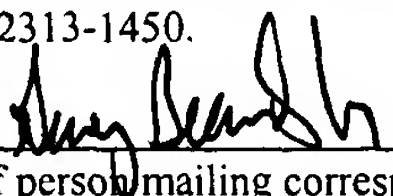


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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Dongmei Xu and Mark T. Nielsen Art Unit: Not yet assigned

Serial No.: Not yet assigned Examiner: Not yet assigned

Filed: July 24, 2003 Customer No.: 21559

Title: DUPLICATED CASSAVA VEIN MOSAIC VIRUS ENHANCERS
AND USES THEREOF

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Applicants submit the references listed on the enclosed Form PTO-1449.

Submission of this statement is not a representation that a search has been made, nor is the inclusion of information in this statement an admission that the information is material to patentability.

Under 35 U.S.C. § 120, this application relies on the earlier filing date of application serial number 09/641,466, which was filed on August 18, 2000. The following references were submitted to and/or cited by the Office in the prior application and, therefore, copies of these references are not provided for this application.

U.S. Patents

5,352,605	10/4/94	Fraley et al.
5,164,316	11/17/92	McPherson et al.
5,196,525	3/23/93	McPherson et al.
5,322,938	6/21/94	McPherson et al.
5,359,142	10/25/94	McPherson et al.
5,424,200	6/13/95	McPherson et al.

PCT Publications

WO 97/48819	12/24/97	WIPO
WO 97/47756	12/18/97	WIPO

Other Publications

Comai et al., "Novel and Useful Properties of a Chimeric Plant Promoter Combining CaMV 35S and MAS Elements," *Plant Mol. Biol.* 15:373-381 (1990)

Gardner, "Plant Viral Vectors: CaMV as an Experimental tool," Genetic Engineering of Plants, and Agricultural Perspective, Proceedings of a Symposium held August 15-19, 1982 at the University of California, Davis, California, Kusuge et al., Ed., pp. 124-125.

Guilley et al., "Transcription of Cauliflower Mosaic Virus DNA: Detection of Promoter Sequences, and Characterization of Transcripts," *Cell* 30:763-773 (1992).

Hohn et al., "Cauliflower Mosaic Virus on its Way to Becoming a Useful Plant Vector," *Current Topics in Microbiology and Immunology* 96:194-236 (1982).

Howell et al., "Cloned Cauliflower Mosaic Virus DNA Infects Turnips (*Brassica rapa*)," *Science* 208:1265-1267 (1980).

Kay et al., "Duplication of CaMV 35S Promoter Sequences Creates a Strong Enhancer for Plant Genes," *Science* 236:1299-1302 (1987).

McNight et al., "Isolation and Mapping of Small Cauliflower Mosaic Virus DNA Fragments Active as Promoters in *Escherichia coli*," *Journal of Virology* 37:673-682 (1981).

Sundaresan et al., "Patterns of Gene Action in Plant Development Revealed by Enhancer Trap and Gene Trap Transposable Elements," *Genes Dev.* 9:1797-1810 (1995).

Verdaguer et al., "Isolation and Expression in Transgenic Tobacco and Rice Plants, of the Cassava Vein Mosaic Virus (CVMV) Promoter," *Plant Molecular Biology* 31:1129-1139 (1996).

Verdaguer et al., "Functional analysis of the Cassava Vein Mosaic Virus Promoter and its Usage for Cassava Genetic Engineering," *Trop. Agric.* 75:317-319 (1998).

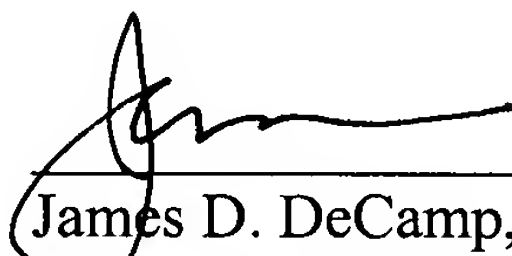
Verdaguer et al., "Functional Organization of the Cassava Vein Mosaic Virus (CsVMV) Promoter," *Plant Molecular Biology* 37:1055-1067 (1998).

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Respectfully submitted,

Date: 24 July 2003



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SUBSTITUTE FORM PTO-1449 (MODIFIED) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary) (37 CFR §1.98(b))		Attorney Docket No. 07678/078003 Serial No. Not Yet Assigned Applicant Dongmei Xu et al. Filing Date July 24, 2003 Group Not Yet Assigned IDS Filed July 24, 2003				
U.S. PATENTS						
Examiner's Initials	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date (If Appropriate)
	5,352,605	10/4/94	Fraley et al.			
	5,164,316	11/17/92	McPherson et al.			
	5,196,525	3/23/93	McPherson et al.			
	5,322,938	6/21/94	McPherson et al.			
	5,359,142	10/25/94	McPherson et al.			
	5,424,200	6/13/95	McPherson et al.			
FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION						
Examiner's Initials	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation (Yes/No)
	WO 97/48819	12/24/97	WIPO			
	WO 97/47756	12/18/97	WIPO			
OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PLACE OF PUBLICATION)						
	Comai et al., "Novel and Useful Properties of a Chimeric Plant Promoter Combining CaMV 35S and MAS Elements," <i>Plant Mol. Biol.</i> 15:373-381 (1990)					
	Gardner, "Plant Viral Vectors: CaMV as an Experimental tool," <i>Genetic Engineering of Plants, and Agricultural Perspective, Proceedings of a Symposium held August 15-19, 1982 at the University of California, Davis, California</i> , Kusuge et al., Ed., pp. 124-125.					
	Guilley et al., "Transcription of Cauliflower Mosaic Virus DNA: Detection of Promoter Sequences, and Characterization of Transcripts," <i>Cell</i> 30:763-773 (1992).					
	Hohn et al., "Cauliflower Mosaic Virus on its Way to Becoming a Useful Plant Vector" <i>Current Topics in Microbiology and Immunology</i> 96:194-236 (1982).					
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	McNight et al., "Isolation and Mapping of Small Cauliflower Mosaic Virus DNA Fragments Active as Promoters In <i>Escherichia coli</i> ," <i>Journal of Virology</i> 37:673-682 (1981).					
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EXAMINER			DATE CONSIDERED			
EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with the next communication to applicant.						

SUBSTITUTE FORM PTO-1449 (MODIFIED) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary) (37 CFR §1.98(b))	Attorney Docket No.	07678/078003
	Serial No.	Not Yet Assigned
	Applicant	Dongmei Xu et al.
	Filing Date	July 24, 2003
	Group	Not Yet Assigned
	IDS Filed	July 24, 2003

U.S. PATENTS

Examiner's Initials	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date (If Appropriate)

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

Examiner's Initials	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation (Yes/No)

OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PLACE OF PUBLICATION)

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